

### IN THE U.S. PATENT AND TRADEMARK OFFICE

Application No.:	09/833,372	) <u>CERTIFICATE OF MAILING</u>
Filing Date:	April 12, 2001	I hereby certify that this paper is being deposited with the United States Postal Office, with sufficient postage, via first
Inventor(s):	Michael Wojtowicz	class mail to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
Group Art Unit:	2815	Date: August 19, 2004
Examiner Name: E	Baumeister, Bradley W.	John S. Paniaguas, Reg.No., 31,051  Attorney for Applicant(s)
Customer No.:	27160	)
Title: GaN HBT S STRUCTURE	SUPERLATTICE BASE	) ) )
Mail Stop Non-Fe Commissioner for P. O. Box 1450		

## AMENDMENT TRANSMITTAL

Sir:

Transmitted herewith is an amendment/reply in the above-identified application.

- 1. () A paper requesting correction/substitution of drawings is attached.
- 2. Fee for Claims

Alexandra, VA 22313-1450

(X) No additional fee is required.

The fee for additional claims in accordance with 37 C.F.R. §1.16(b)-(d) has been calculated as shown below:

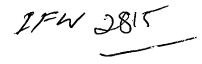
					SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	Claims Remaining After Amendment			Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total	2	Minus	20	0	x 9	0	x 18	
Indep.	2	Minus	3	0	X 43	0	x 86	

Fee for Multiple Dependent Claims	+145	0	+290	
	TAL ADDITIONAL FEES	0	OR	

3. ****	Method	thod of Payment of Fees					
	()	Enclosed is our firm check in the amou	nt of: \$				
	()	Charge \$ to Deposit Ac	count No. 50-1214.				
4.	(X)	The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 50-1214. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1214. This sheet is filed in duplicate.					
			Respectfully Submitted,				
	Augus (Date)	t 19, 2004 By:	John S. Paniaguas  John S. Paniaguas  Registration No. 31,051				
			KATTEN MUCHIN ZAVIS ROSENMAN				

KATTEN MUCHIN ZAVIS ROSENMAN 525 West Monroe Street, Suite 1600 Chicago, Illinois 60661-3693 (Direct) Phone No. (312) 902-5312 (Direct) Fax No. (312) 577-4532





# **PATENT** ATTORNEY DOCKET NO. 211467-00211

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael Wojtowicz

Application No.: 09/833,372

Filed: April 12, 2001

Title: GaN HBT SUPERLATTICE BASE

STRUCTURE

Group Art Unit: 2815

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Examiner: Baumeister, Bradley W.

"Certificate of Mailing"

I hereby certify that this paper is being

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA22313-1450

ate / John S. Paniaguas

Registration No.31,051

#### **Amendment**

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Official Action mailed on March 19, 2004, please enter the following amendment.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 5 of this paper.

Appl. No.: 09/833,372 Amdt. dated: 8/19/2004

Reply to Office Action of March 19, 2004

a substrate formed from a material selected from the group consisting of sapphire and silicon carbide;

an n+ doped GaN subcollector layer;

an n-doped GaN collector layer;

a p+ doped base layer formed on top of said collector layer defining a base collector interface formed from alternating layers of A1GaN/GaN forming a superlattice;

an n+ doped A1GaN emitter layer formed on top of said base layer defining an emitter base interface;

a base contact formed on said base layer;

a collector contact formed on said subcollector; and

an emitter contact formed on said emitter.

- 6. (Canceled).
- 7. (Canceled).
- 8. (Original). A method for fabricating a heterojunction bipolar transistor comprising the steps:
  - (a) forming a subcollector layer on a substrate;
  - (b) forming a collector layer on said collector layer;
- (c) forming a base layer as a superlattice of alternating layers of A1GaN/GaN on said collector defining a base collector interface; said base layer formed with an irregular band gap energy;
- (d) forming an emitter layer on said base layer defining a base collector interface; and
  - (e) forming contacts on said base, subcollector said emitter layers.
- 9. (Original). A method for fabricating a heterojunction bipolar transistor comprising the steps:
  - (a) forming a subcollector layer on said substrate;
  - (b) forming a collector layer on said subcollector layer;
- (c) forming a base layer comprising a superlattice of alternating layers of A1GaN/GaN having a non-constant concentration of A1 in said alternating layers of